

Position Lots

Supported Financial Institutions

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ABOUT THIS GUIDE

This guide lists the financial institution (FI) data sources for which the position lot (also known as tax lot) capability is supported by the service, as well as those for which it is known that the position lot capability cannot be supported.

For more detail about position lots, refer to

<http://www.byallaccounts.net/Manuals/Accountview/PositionLotsOverview.pdf>.

Note: the changes made on August 6, 2020 were to update the list of FIs that support position lots. There were no functionality changes.

OVERVIEW

A position lot is the record of the purchase of a “lot” of a security that is used for determining taxes related to capital gains and losses. The lot includes key information about the purchase such as security transacted upon, the date of purchase, and the purchase price. Positions lots may be referred to as “position lots”, “lots”, or “tax lots”. These terms are interchangeable.

ByAllAccounts can support gathering open position lots from financial institution data sources that report that data and for which support has been engineered at ByAllAccounts. It does not support the aggregation of closed lots.

ByAllAccounts already supports position lot gathering for many currently-supported data sources, which are listed in “[Data Sources that Currently Support Position Lots](#)”, page 2. ByAllAccounts can add support for lots to any currently-supported institution if that institution reports lot data in a way that can be efficiently gathered. There is a one-time fee to add tax lot support to an institution if that support has not already been developed. However, there are some data sources for which support cannot be engineered, and they are listed in “Data Sources that Cannot Support Position Lots”, page 8.

The position lot capability is supported by AccountView, Custodial Integrator (PortfolioCenter, Universal, Axys, APX), and DataConnect API. It is not supported by Custodial Integrator TLM or TOD, or by other applications not listed here.

The data provided for position lots varies by the variant of Custodial Integrator (Universal, PortfolioCenter, etc.) so please refer to the *Custodial Integrator User Guide* for your variant for these details. If you use the DataConnect API directly please refer to the *DataConnect User Guide*.

You must have the position lot capability enabled for your firm before you can use position lot features provided by the service. Technical support can enable the position lot feature for you. You must make a manual aggregation request to obtain position lot data; position lot data is not aggregated automatically on a nightly basis.

DATA SOURCES THAT CURRENTLY SUPPORT POSITION LOTS

As of July 28, 2020 the following data sources provide support for positions lots.

FI ID	NAME	USER LOGIN URL
98284	AMG National Trust - WebLink	https://login2.fisglobal.com/idp/AMGTRPWL/?ClientID=WebLinkUI
49455	Alerus Financial - Investments	https://www3.alerusfinancial.com/Weblink/logon/frmlogon.aspx?Ret urnUrl=%2fweblink%2fDefault.aspx
110810	American Bank Center - Trust	https://icp.infovisa.com/0149
98522	American National Bank & Trust (TX) - TrustWeb	https://icp.infovisa.com/0296/
98729	American State Bank - InfoVisa Client Portal	https://icp.infovisa.com/0142
110813	American Trust Center - Trust	https://icp.infovisa.com/0149
97316	Anchor Bank (MN) - Trust	https://icp.infovisa.com/0208/
98436	Argent Financial Group	https://accountaccess.argentfinancial.com
110975	AssetMark - FTP	sftp://ftp1.assetmark.com/
97343	B. Riley Wealth Management	https://brileywealth.fccaccessonline.com/
98186	BNC National Bank - Trust	https://icp.infovisa.com/0209/
98581	BNY Mellon Wealth Management - SFTP	ftp://ftx-servsh.bnymellon.com/
97352	Bank of America - Merrill Edge	https://www.merrilledge.com/m/pages/home.aspx
49756	Bank of America - TrustView Express	https://trustviewexpress.bankofamerica.com/logon/frmLogon.asp
109831	Bank of Jackson Hole - Trust	https://icp.infovisa.com/0317
98666	BankChampaign - Wealth Management	https://icp.infovisa.com/0103
55741	Boston Trust & Investment Management Company	https://bostontrust.clientviewportal.com/wmss/web/itc-bt-clientview/login
97630	Broker Dealer Financial Services - Client	https://bdfs.fccaccessonline.com/
46915	Brown Advisory (First Clearing)	https://brown.fccaccessonline.com/
97578	Bryn Mawr Trust Company - WebLink - Trust	https://services2.sungard.com/idp/BMTCTRPWL/?ClientID=WebLinkUI
88622	Capital Guardian Personal Investment Management	https://pim.capguardian.com/
110700	Central Bank and Trust Co. - Trust	https://icp.infovisa.com/0203
97516	Central Trust & Investment Company - Trust and Investment	https://services2.sungard.com/idp/CBITRPWL/?ClientID=WebLinkUI
43634	Charles Schwab & Co., Inc. - Institutional	https://ssl.schwabinstitutional.com/transact/LoginController

FI ID	NAME	USER LOGIN URL
98091	Charles Schwab Institutional - SFTP	sftp://sft.schwab.com/
15565	Chase Online	https://secure01c.chase.com/web/auth/#/logon/logon/chaseOnline
92626	Citizens & Northern Bank - Trust	https://icp.infovisa.com/0169
96028	City National Rochdale - Investment Management	https://secure.cnr.com/myRochdale
48736	City Securities Corporation - Client	https://citysecurities.fccaccessonline.com/
110096	City State Bank - Trust & Investments	https://icp.infovisa.com/0196
95245	Community Bank & Trust (Waco) - Trust	https://icp.infovisa.com/0257/
97277	Community Bank (Muscatine, et al.) - Retirement	https://icp.infovisa.com/0236
48556	Consultiva Internacional, Inc.	https://consultiva.fccaccessonline.com/
110732	Countybank - Trust (MAUIWeb)	https://icp.infovisa.com/0323
98008	Covenant Trust Company	https://www.mauiweb1.com/0194
48558	Cutter & Company - First Clearing	https://cutterco.fccaccessonline.com/
48738	David A. Noyes & Company - Clients	https://danoyes.fccaccessonline.com/
109505	Deutsche Asset And Wealth Management - DB InSight	https://www.dbinsight.com/dbinsight
94146	Extraco Banks - E-Trust	https://icp.infovisa.com/0326
97079	FSG Bank - Wealth Management (MAUI)	https://icp.infovisa.com/0259/
111089	FTJ Fundchoice Data Feed (via TDA)	sftp://216.105.253.89:25021
98045	Fidelity IWS - FTP Access	https://fiws.fidelity.com/
20268	Fidelity Investments - Fidelity.com	https://oltx.fidelity.com/ftgw/fbc/ofsummary/summary
111345	Fiduciary Trust Company - SFTP	sftp://sftp.fiduciary-trust.com
96586	First Clearing LLC	https://fcc.fccaccessonline.com/
111160	First County Bank - First County Advisors	https://icp.infovisa.com/0230
52342	First Financial Trust N.A. - Trust	https://www.mauiweb1.com/FFT/scenes/login.asp
42041	First National 1870 Wealth Management	https://innovue30.innovestsystems.com/sfl/sfl/authentication/logon
109996	First PREMIER Bank - Trust and Investments	https://icp.infovisa.com/0309/
111065	First Point Wealth Management - Financial Management & Trust	https://icp.infovisa.com/0216

FI ID	NAME	USER LOGIN URL
98271	First Southwest Company - FSAccess	https://fsaccess.firstswclearing.com/secure/Login.aspx
98361	First Western Bank & Trust - Trust	https://icp.infovisa.com/0144/
98023	Founders Bank & Trust - Investment	https://www.mauweb1.com/0281/
110407	Godfrey & Kahn - Trust	https://icp.infovisa.com/A177/
42352	Goldman Sachs Private Wealth Management	https://www.goldman.com
98080	Goulston & Storrs - MAUIWeb	https://icp.infovisa.com/0274
98189	Great Western Bank - Trust	https://icp.infovisa.com/0283/
99241	Hand Benefits & Trust Company - WebLink	https://services2.sungard.com/idp/HANDTRPWL/?ClientID=WeblinkUI
97027	Harrington Wealth Management	https://icp.infovisa.com/0114/
97432	Haverford Trust Company	https://icp.infovisa.com/0330
98802	Horizon Bank (IN, MI) - Trust	https://services2.sungard.com/idp/TSS278/?ClientID=WebLinkUI
109848	Howland Capital - Tamarac	https://howlandcapital.portal.tamaracinc.com/
96465	Huntleigh Securities Corporation	https://huntleigh.fccaccessonline.com/
109640	IBERIA Wealth Advisors	https://services2.sungard.com/idp/TSS402/?ClientID=WebLinkUI
98140	Integrity Bank & Trust - Wealth Management & Trust	https://icp.infovisa.com/0189
54441	Interactive Brokers	https://www.interactivebrokers.com/Universal/servlet/AccountAccess.Login?forwardTo=1
50095	Janney Montgomery Scott LLC.	https://www.myjanney.com/Lists/PublicFolder/LoginContent/Home.aspx
92868	Jefferson Bank	https://icp.infovisa.com/0100
98179	Lafayette Investments	https://lafayetteinvestments.fccaccessonline.com/
55665	M&T Bank WebFolio	http://mandtbank.cconnect.com/
109066	Marriott Rewards Visa	https://www.chase.com/content/chase-ux/en/personal/credit-cards/marriott-account-access
110090	Martha's Vineyard Financial Group - Trust	https://www.mauweb1.com/0187
54984	Mercantile Investment & Wealth Management	https://mercantile.vfi-web.com/Weblink/logon/frmlogon.aspx?ReturnUrl=%2fweblink%2fDefault.aspx
55041	Merrill Lynch Benefits OnLine Non-Qualified Deferred Compensation	https://www.benefits.ml.com
261	Merrill Lynch Benefits Online - 401k Plan	https://www.benefits.ml.com
97463	Merrill Lynch Benefits Online Employee Stock Purchase Plan	https://www.benefits.ml.com
221	Merrill Lynch Direct	https://www.fs.ml.com/login/Login.asp?site=mld

FI ID	NAME	USER LOGIN URL
222	Merrill Lynch Online	https://www.fs.ml.com/login/Login.asp?site=mlol
91790	Moors & Cabot (First Clearing)	https://moorscabot.fccaccessonline.com/
262	Morgan Stanley Smith Barney ClientServ (non-Quicken)	https://login.morganstanleyclientserv.com/
111171	National Advisors Trust - SFTP Access	sftp://sftp.nationaladvisorstrust.com
88725	National Penn Investors Trust Company - Investment and Trust	https://www.vfi-web.com/NPITCWeblink
96547	Nations Financial Group	https://nations.fccaccessonline.com
45212	Neuberger Berman Private Asset Management	https://caa.nb.com/login.aspx?aa=pam/
111039	North Shore Bank (MN, WI) - Trust	https://icp.infovisa.com/0245/
87262	Northern Trust - FTP Access	http://www.northerntrust.com/
44185	Northern Trust Passport	http://www.northerntrust.com/
110111	Old North State Trust	https://icp.infovisa.com/0237
42852	Oppenheimer	https://www.oppenheimer.com/client-login.aspx
46496	PNC I-Link	https://ilink2.pnc.com/
97221	Pentegra Retirement Services - RSGroup	https://icp.infovisa.com/0198/
98285	Peoples Bank (OH) - Trust & Investment	https://services2.sungard.com/idp/PEBOTRPWL/?ClientID=WebLinkUI
98619	Peoples Bank and Trust (KS) - Trust	https://icp.infovisa.com/0250/
92028	Pershing InfoDirect	https://infodirect.pershing.com/cehttp/html/index.html
110798	Pershing InfoDirect - New	https://mfgw.sfg.pershing.com/infodirect/
110170	Pinnacle Bank (NE, KS, MO) - Trust	https://icp.infovisa.com/0310
93646	Pinnacle Financial Partners - Trust	https://services2.sungard.com/idp/PFPTRPWL/?ClientID=WebLinkUI
96945	Plante Moran - Client Access	https://icp.infovisa.com/0223
50697	Pruco Securities, LLC.	https://www.prudential.com/wps/portal/production/common/login
109662	Queensborough National Bank & Trust - Trust	https://icp.infovisa.com/0300
97913	Reliance Trust - SecureLink SFTP	sftp://SecureLink.FISGlobal.com/
109884	SEI Institutional 370	https://www.account3000.com/institutional370/Aspx/Login.aspx
98245	Sabal Trust Company - Client	https://www.rt-wms.com/rtcdirect/Logon/frmLogon_rtc.aspx
98685	Simmons Bank - Wealth Management & Trust	https://services2.sungard.com/idp/SFTTRPWL/?ClientID=WebLinkUI
98237	South Carolina Bank & Trust - Trust Asset Management	https://www.account3000.com/scbandt/Aspx/TFALogin.aspx?ReturnUrl=scbandt/Aspx/account3000.aspx
88423	South Dakota Trust Company	https://icp.infovisa.com/0226/
110267	Southeastern Trust Company	https://icp.infovisa.com/0259

FI ID	NAME	USER LOGIN URL
98477	Southern Michigan Bank & Trust - Wealth Management	https://icp.infovisa.com/0337
95865	Soy Capital Bank and Trust Company	https://icp.infovisa.com/0246
98299	State Street - Secure Transport (Wealth Management)	https://sft.statestreet.com
33284	State Street WealthConnect	https://wealthconnect.statestreet.com
98611	StateTrust Wealth Management - Customer	https://statetrust.automatedfinancial.com/secure_login.html
44912	SunTrust Trust & Portfolio Management	https://trustservices.suntrust.com/
110658	Sunflower Bank - Trust (Innovue)	https://innovue30.innovestsystems.com/sfl/sfl/authentication/logon
97193	Sunflower Bank - Trust (SEI)	https://sunflower.cconnect.com/
98059	TD Ameritrade - Institutional SFTP Access	sftp://216.105.253.89:25021
110458	TD Ameritrade - Institutional Trust VEO	https://www.advisorservices.com
98617	Talmer Bank and Trust - Portfolio Review	https://www.mauieweb1.com/0244/
98864	The Bennington State Bank - Wealth Management	https://icp.infovisa.com/0290
109583	The Commercial & Savings Bank - Trust	https://icp.infovisa.com/0249
52861	The PrivateBank - Asset Management	https://services2.sungard.com/idp/PBTCTRPWL/?ClientID=WebLinkU I
49981	The Stephenson National Bank & Trust	https://icp.infovisa.com/0213/
98185	The Trust Company - Client Login	https://www.mauieweb1.com/0214
96827	Tiedemann Trust Company	https://icp.infovisa.com/0202/
98580	Trust Point Inc. - Trust/Investment	https://services2.sungard.com/idp/NCTCTRPWL/?ClientID=WebLinkU I
41813	U.S. Bank TrustNOW	https://usbank.cconnect.com/
98032	UBS Financial Services ConsultWorks - IS Manager Link	https://www.ubspwmobile.com/CWM/cw2mlogin.jsp
98570	UMB InvestmentDirect - Investments	https://login2.fisglobal.com/idp/umbid/?ClientID=WP_UX
98636	US Bank - PIVOT	https://pivot.usbank.com/wmss/web/it-c/login
98051	Union Bank & Trust Company (NE, KS) - Trust	https://mauiweb.ubt.com
110138	United Bank & Trust (IA) - Wealth Management	https://icp.infovisa.com/0216

FI ID	NAME	USER LOGIN URL
97237	United Bank (GA) - Investments and Trust Services	https://icp.infovisa.com/0258/
54062	VSR Financial Services - First Clearing	https://vsrfinancial.fccaccessonline.com/
109584	WealthSouth - Trust	http://icp.infovisa.com/0271
109633	Wedbush - FolioDynamix SFTP	sftp://sftp.foliodx.com/
97563	Wells Fargo Advisors	https://www.wellsfargoadvisors.com/login
97627	Wells Fargo Advisors - First Clearing	https://wfa.fccaccessonline.com/
98148	Wells Fargo Advisors - First Clearing (Money Manager Portal)	https://mgr.fccaccessonline.com/
48499	Wells Fargo Commercial Electronic Office	https://wellsoffice.wellsfargo.com/ceo/signon/index.jsp
44893	Wells Fargo Online Brokerage	http://www.wellsfargo.com/
98309	Wells Fargo Prime Services - FTP	ftp://ftp.merlinsecurities.com/
110042	West Bank - Trust	https://icp.infovisa.com/0104
98016	iAB Financial Bank - Wealth Management	https://www.mauweb1.com/0188

DATA SOURCES THAT CANNOT SUPPORT POSITION LOTS

As of September 26, 2014 the following institutions have been evaluated and it has been determined that they cannot support position lots (either because the site does not provide this data or for technical reasons).

Name	User Login URL
Altman Investment Management LLC	https://toolkit.clearco.com/altmaninv/
American Express Credit Card	https://online.americanexpress.com/myca/logon/us/action?request_type=LogonHandler&Face=en_US&inav=iNavLnkLog
American Funds - non-Quicken/Money	https://www.americanfunds.com/account/login.html
Angelo Gordon (Bear Stearns)	https://toolkit.clearco.com/agbdllc
Ash Brokerage for LBL	ftp://ftp.ashbrokerage.com/
Axiom Capital Management	https://toolkit.clearco.com/AXIOM/
BTIG LLC	https://wwwca01.btig.com/Home.aspx
Bear Stearns - Presidio Capital Advisors	https://morcomprime.jpmorgan.com/portal/login.do
Bear Stearns - Presidio Wealth Management	https://morcomprime.jpmorgan.com/portal/login.do
Bel Air Investment Advisors	https://toolkit.clearco.com/belair/
Birinyi Associates	https://toolkit.clearco.com/birinyi
BlackRock Funds	https://nge01.bnymellon.com/NextGenV4/dflt/Login.blk
Burnham Financial Group	https://toolkit.clearco.com/burnham/
CFT Securities	https://toolkit.clearco.com/cftsecurities/
Capstar Bank - Business	https://capstarbank.ebanking-services.com/
Carnes Capital Corp	https://toolkit.clearco.com/carnescapitalcorp
Charles Schwab & Co., Inc. - Client Log In	https://investing.schwab.com/trading/start
Chelsea Management FTP	ftp://64.186.251.126
Chickasaw Capital Management	https://toolkit.clearco.com/chickasawcap/
Condera Advisors (JPMorgan)	https://toolkit.clearco.com/condera/
Consolidated Financial Investments	https://toolkit.clearco.com/cfii/
ConvergEx Group Prime Services - JPMorgan (www.clearco.com)	https://toolkit.clearco.com/convergexprime/
Curian Capital - PortfolioCenter	https://www.curian.com/login.xhtml
Curian Capital - Principia CAMS	https://www.curian.com/login.xhtml
DSM Capital Partners	https://toolkit.clearco.com/dsmcapital/
David J Greene & Company	https://toolkit.clearco.com/djgreene
Fidelity NetBenefits (401k.com)	https://login.fidelity.com/ftgw/Fas/Fidelity/NBPart/Login/Init
First Alliance Asset Management (Access Financial Group)	https://toolkit.clearco.com/accessfinancial/
First Allied Securities, Inc.	https://toolkit.clearco.com/fas/
Friedman Billings Ramsey	https://toolkit.clearco.com/FBR/
GBS Financial	https://toolkit.clearco.com/gbs/
Glickenhau & Co.	https://toolkit.clearco.com/glickenhau/

Goldman Sachs Private Wealth Management - Quicken	https://wealth.goldman.com
Guggenheim Partners (Bear Stearns) Advisory Services - U.S. Domestic	http://www.guggenheimpartners.com/client-login-gpas.html
HighTower Securities (JPMorgan)	https://toolkit.clearco.com/hightowersecuritiesllc/
JPMorgan Private Bank - Quicken	https://www.privateclient.jpmorgan.com/web/login/login.jsp
Jefferson National - Client	https://www.jeffnat.com/login.cfm
LCS Securities	https://toolkit.clearco.com/LCSSECURITIESLLC/
Leonard and Company	https://toolkit.clearco.com/leonardandcompany
M.J. Whitman	https://toolkit.clearco.com/mjwhitman
Missouri Bank - Business	https://mobank.ebanking-services.com/EamWeb/account/login.aspx?appld=beb&brand=mo bank
Nollenberger Capital Partners - JPMCC Client Login	https://toolkit.clearco.com/nollenbergercapital/
Northeast Securities, Inc.	https://toolkit.clearco.com/NORTHEAST/
Principal Financial Group - Personal	http://www.principal.com/index.shtm
Ramat Securities	https://toolkit.clearco.com/ramat/
Raymond James Financial	https://wallstreet.rjf.com/
Roosevelt & Cross Inc.	https://toolkit.clearco.com/rooseveltcross/
SMH Capital Advisors	https://toolkit.clearco.com/smh/
Sendero Wealth Management	https://toolkit.clearco.com/sendero/
Shufro, Rose & Co., LLC	https://toolkit.clearco.com/shufrorose/
Signature Bank (NY) - Banking & Brokerage	https://signatureny.ebanking-services.com/
Symetra - True VA	https://true.symetra.com/
Symetra Financial	https://profile.symetra.com/webcontent/common/GenericSignon/GenericSignon.aspx
TD Ameritrade Institutional Client	https://www.advisorclient.com/
TradeStation Securities - Online Trading	https://toolkit.clearco.com/onlinetrading/
UBS Financial Services ConsultWorks - Power User	https://www.ubspwmobile.com/CWM/cw2mlogin.jsp
Vanguard Group	http://www.vanguard.com/
Western International Securities - Client	https://toolkit.clearco.com/wisdirect/